

GP 3724



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PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |                      |                        |          |
|---|----------------------|------------------------|----------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 09/109,830             |          |
|   | Filing Date          | July 2, 1998           |          |
|   | First Named Inventor | John P. Kennelly       |          |
|   | Group Art Unit       | 3724                   |          |
|   | Examiner Name        | C. Dexter              |          |
| Total Number of Pages in This Submission  | 8                    | Attorney Docket Number | KE27-001 |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment / Response                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request                | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):       |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | \$55.00 check  |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Small Entity Statement   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | James L. Price, Reg. No. 27,376<br>Wells, St. John, Roberts, Gregory & Matkin, P.S. |
| Signature                                  | <i>James L. Price</i>   |
| Date                                       | 11/17/99  |

| CERTIFICATE OF MAILING   |                     |
|--|---------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 11/19/1999 eb |                     |
| Typed or printed name  | Cheryl Boies        |
| Signature  | <i>Cheryl Boies</i> |
| Date   | 11/17/99            |

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**FEE TRANSMITTAL  
for FY 1999**

Patent fees are subject to annual revision.

Small entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

**TOTAL AMOUNT OF PAYMENT (\$55.00)****Complete if Known**

|                      |                  |
|----------------------|------------------|
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| Examiner Name        | C. Dexter        |
| Group / Art Unit     | 3724             |
| Attorney Docket No.  | KE27-001         |

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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Account  
Number  
Deposit  
Account  
Name

23-0925

Wells, St. John, et al.

- ☒
- Charge Any Additional
- 
- Fee Required Under
- 
- 37 CFR 1.16 and 1.17

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large<br>Entity<br>Code | Small<br>Entity<br>Code | Fee<br>(\$) | Fee<br>(\$) | Fee Description        | Fee Paid |
|-------------------------|-------------------------|-------------|-------------|------------------------|----------|
| 101                     | 760                     | 201         | 380         | Utility filing fee     |          |
| 106                     | 310                     | 206         | 155         | Design filing fee      |          |
| 107                     | 480                     | 207         | 240         | Plant filing fee       |          |
| 108                     | 760                     | 208         | 380         | Reissue filing fee     |          |
| 114                     | 150                     | 214         | 75          | Provisional filing fee |          |

**SUBTOTAL (1) (\$ 0.00)****2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** =      | X              | 0.00     |
| Multiple Dependent | -3** =       | X              | 0.00     |
|                    |              |                | 0.00     |

\*\*or number previously paid, if greater; For Reissues, see below

| Large<br>Entity<br>Code | Small<br>Entity<br>Code | Fee<br>(\$) | Fee<br>(\$) | Fee Description  | Fee Paid |
|-------------------------|-------------------------|-------------|-------------|--|----------|
| 103                     | 18                      | 203         | 9           | Claims in excess of 20                                     |          |
| 102                     | 78                      | 202         | 39          | Independent claims in excess of 3                          |          |
| 104                     | 260                     | 204         | 130         | Multiple dependent claim, if not paid                      |          |
| 109                     | 78                      | 209         | 39          | ** Reissue independent claims over original patent         |          |
| 110                     | 18                      | 210         | 9           | ** Reissue claims in excess of 20 and over original patent |          |

**SUBTOTAL (2) (\$ 0.00)****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large<br>Entity<br>Code | Small<br>Entity<br>Code | Fee<br>(\$) | Fee<br>(\$) | Fee Description  | Fee Paid |
|-------------------------|-------------------------|-------------|-------------|--|----------|
| 105                     | 130                     | 205         | 65          | Surcharge - late filing fee or oath  | 0.00     |
| 127                     | 50                      | 227         | 25          | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139                     | 130                     | 139         | 130         | Non-English specification  | 0.00     |
| 147                     | 2,520                   | 147         | 2,520       | For filing a request for reexamination                                     | 0.00     |
| 112                     | 920*                    | 112         | 920*        | Requesting publication of SIR prior to Examiner action                     | 0.00     |
| 113                     | 1,840*                  | 113         | 1,840*      | Requesting publication of SIR after Examiner action                        | 0.00     |
| 115                     | 110                     | 215         | 55          | Extension for reply within first month                                     | 55.00    |
| 116                     | 380                     | 216         | 190         | Extension for reply within second month                                    | 0.00     |
| 117                     | 870                     | 217         | 435         | Extension for reply within third month                                     | 0.00     |
| 118                     | 1,360                   | 218         | 680         | Extension for reply within fourth month                                    | 0.00     |
| 128                     | 1,850                   | 228         | 925         | Extension for reply within fifth month                                     | 0.00     |
| 119                     | 300                     | 219         | 150         | Notice of Appeal   | 0.00     |
| 120                     | 300                     | 220         | 150         | Filing a brief in support of an appeal                                     | 0.00     |
| 121                     | 260                     | 221         | 130         | Request for oral hearing   | 0.00     |
| 138                     | 1,510                   | 138         | 1,510       | Petition to institute a public use proceeding                              | 0.00     |
| 140                     | 110                     | 240         | 55          | Petition to revive - unavoidable   | 0.00     |
| 141                     | 1,210                   | 241         | 605         | Petition to revive - unintentional   | 0.00     |
| 142                     | 1,210                   | 242         | 605         | Utility issue fee (or reissue)   |          |
| 143                     | 430                     | 243         | 215         | Design issue fee   |          |
| 144                     | 580                     | 244         | 290         | Plant issue fee  |          |
| 122                     | 130                     | 122         | 130         | Petitions to the Commissioner  | 0.00     |
| 123                     | 50                      | 123         | 50          | Petitions related to provisional applications                              |          |
| 126                     | 240                     | 126         | 240         | Submission of Information Disclosure Stmt                                  | 0.00     |
| 581                     | 40                      | 581         | 40          | Recording each patent assignment per property (times number of properties) | 0.00     |
| 146                     | 760                     | 246         | 380         | Filing a submission after final rejection (37 CFR 1.129(a))                | 0.00     |
| 149                     | 760                     | 249         | 380         | For each additional invention to be examined (37 CFR 1.129(b))             | 0.00     |
| Other fee (specify)     |                         |             |             |  | 0.00     |
| Other fee (specify)     |                         |             |             |  | 0.00     |

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 55.00)****SUBMITTED BY**Typed or  
Printed Name James L. Price

Signature

James L. Price

Date

11/17/99

**Complete (if applicable)**

Reg. Number

27,376

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